

FROM

(TUE)OCT 24 2006 10:04/ST.10:03/NO.6310677118 P 1

**ADE & COMPANY INC.  
PATENT AND TRADEMARK AGENTS**

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**OCT 24 2006**

82402-6402

**FACSIMILE COVER LETTER**

**PLEASE DELIVER THE FOLLOWING PAGES TO:**

**NAME: Commissioner of Patents – Darrell C. Cottman**

**FROM: Ade & Company Inc.- Michael R. Williams**

**TOTAL NUMBER OF PAGES 6 INCLUDING THIS COVER LETTER.**

**DATE: October 24, 2006**

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**RE: United States Patent Application no: 10/564,679  
Dissolving Film Comprising a Therapeutically Active Agent  
Within the Film or in a Pouch forwarded by the Film  
Jung Han  
EXAMINER –Darrell C. Cottman GROUP**

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**Response/amendment to Notification of Missing Requirements under 35U.S.C. 371  
in United States Designated/elected office dated September 12, 2006**

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SOON AS POSSIBLE.**

**TELEPHONE (204)-947-1429**

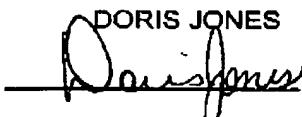
**FACSIMILE (204)-942-5723**

**OPERATOR: DORIS JONES**

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DORIS JONES

 Date

FROM

(TUE)OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 2

<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)</b>					<b>Docket No. 82402-6402</b>	
In Re Application Of: <b>Jung Han</b>						
Application No. 10/564,679	Filing Date 12/22/2003	Examiner Darrell C. Cottman	Customer No. 23529	Group Art Unit	Confirmation No. 1704	
Invention: <b>DISSOLVING FILM COMPRISING A THERAPEUTICALLY ACTIVE AGENT WITHIN THE FILM OR IN A POUCH FORWARDED BY THE FILM</b>						
<b>RECEIVED CENTRAL FAX CENTER OCT 24 2006</b>						
<u>Mail Stop Missing Parts</u> <u>COMMISSIONER FOR PATENTS:</u>						
This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>09/12/2006</u> <i>Date</i>						
Enclosed herewith for filing are the following:						
<input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). <b>(REQUIRED)</b>						
<input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.						
<input checked="" type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63.						
<input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.						
<input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.						
<input type="checkbox"/> _____ verified small entity declaration(s)						
<input type="checkbox"/> is/are attached.						
<input type="checkbox"/> was/were filed on _____						
<input type="checkbox"/> A separate request for refund.						
<input type="checkbox"/> Other (list):						

FROM

(TUE) OCT 24 2006 10:04/ST. 10:03/NO. 6310677118 P 3

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Small Entity)</b>				<b>Docket No.</b> <b>82402-6402</b>	
In Re Application Of: <span style="float: right;"><b>Jung Han</b></span>					
Application No. <b>10/564,679</b>	Filing Date <b>12/22/2003</b>	Examiner <b>Darrell C. Cottman</b>	Customer No. <b>23529</b>	Group Art Unit	Confirmation No. <b>1704</b>
Invention: <b>DISSOLVING FILM COMPRISING A THERAPEUTICALLY ACTIVE AGENT WITHIN THE FILM OR IN A POUCH FORWARDED BY THE FILM</b>					

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TO THE COMMISSIONER FOR PATENTS:  
Mail Stop Missing Parts

☐ Completion of application fees as calculated below:

<input type="checkbox"/> Utility application basic fee	_____
<input type="checkbox"/> Design application basic fee	_____
<input type="checkbox"/> Search Fee	\$0.00
<input type="checkbox"/> Examination Fee	\$0.00
<input type="checkbox"/> Total number of independent claims = _____	_____
<input type="checkbox"/> Total number of claims = _____	_____
<input type="checkbox"/> Multiple dependent claims	_____
<input type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	_____
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	_____
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	_____
<input type="checkbox"/> Fee for processing and retention of application	_____
Total completion of application fees	_____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.


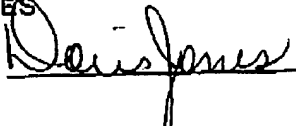
☐ One month    
 ☐ Two months    
 ☐ Three months    
 ☐ Four months    
 ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
*Date**Date*

Total time extension fees \_\_\_\_\_  
 Total fees due \_\_\_\_\_

FROM

(TUE)OCT 24 2006 10:04/ST.10:03/NO.6310677118 P 4

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533) (Small Entity)</b>					<b>Docket No.</b> <b>82402-6402</b>	
In Re Application Of: Jung Han						
<b>Application No.</b> 10/564,679	<b>Filing Date</b> 12/22/2003	<b>Examiner</b> Darrell C. Cottman	<b>Customer No.</b> 23529	<b>Group Art Unit</b>	<b>Confirmation No.</b> 1704	
Invention: <b>DISSOLVING FILM COMPRISING A THERAPEUTICALLY ACTIVE AGENT WITHIN THE FILM</b> <b>OR IN A POUCH FORWARDED BY THE FILM</b>						
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<u>TO THE COMMISSIONER FOR PATENTS:</u> <u>Mail Stop Missing Parts</u>						
<p>The fee of _____ is to be paid as follows:</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. _____</p> <p><input type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. _____</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p>						
 _____ Signature			Dated: October 24, 2006			
Michael R. Williams Registration 45333			CERTIFICATION OF FACSIMILE TRANSMISSION			
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			DORIS JONES  Date _____			
cc:						

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UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
WWW.USPTO.GOV

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/564,679

Jung Han

82402-6402

INTERNATIONAL APPLICATION NO.

PCT/CA03/01958

I.A. FILING DATE

PRIORITY DATE

12/22/2003

12/26/2002

ADE & COMPANY  
P.O. BOX 28008  
1795 HENDERSON HIGHWAY  
WINNIPEG, MB R2G 0P1  
CANADA

CONFIRMATION NO. 1704

371 FORMALITIES LETTER



\*OC000000020385592\*

Date Mailed: 09/12/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/13/2006
- Copy of the International Search Report filed on 01/13/2006
- Request for Immediate Examination filed on 01/13/2006
- U.S. Basic National Fees filed on 01/13/2006

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**SEP 26 2006**

**ADE & COMPANY INC.**

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

FROM

(TUE)OCT 24 2006 10:04/ST.10:03/NO. 6310677118 P 6

Page 2 of 2

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

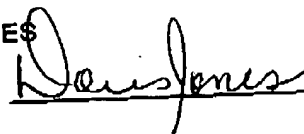
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/564,679	PCT/CA03/01958	82402-6402

FORM PCT/DO/EO/905 (371 Formalities Notice)

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JORIS JONES

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